

PATENT

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APPLICATION FOR UNITED STATES LETTERS PATENT

for

**METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC
PROCESSING**

by

Frederick F. Becker

Peter Gascoyne

Xiaobo Wang

Jody Vykoukal

and

Giovanni De Gasperis

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BACKGROUND OF THE INVENTION

2 1. **Field of the Invention**

3 The present invention relates generally to fluidic processing and, more
4 particularly, to a method and apparatus for programmably manipulating and interacting
5 one or more compartmentalized packets of material on a reaction surface.

6 2. **Description of Related Art**

Chemical protocols often involve a number of processing steps including metering, mixing, transporting, division, and other manipulation of fluids. For example, fluids are often prepared in test tubes, metered out using pipettes, transported into different test tubes, and mixed with other fluids to promote one or more reactions. During such procedures, reagents, intermediates, and/or final reaction products may be monitored, measured, or sensed in analytical apparatus. Microfluidic processing generally involves such processing and monitoring using minute quantities of fluid. Microfluidic processing finds applications in vast fields of study and industry including, for instance, diagnostic medicine, environmental testing, agriculture, chemical and biological warfare detection, space medicine, molecular biology, chemistry, biochemistry, food science, clinical studies, and pharmaceutical pursuits.

18 A current approach to fluidic and microfluidic processing utilizes a number of
19 microfluidic channels that are configured with microvalves, pumps, connectors, mixers,
20 and detectors. While devices using micro-scale implementations of these traditional
21 approaches may exhibit at least a degree of utility, vast room for improvement remains.
22 For instance, pumps and valves used in traditional fluidic transportation are mechanical.
23 Mechanical devices, particularly when coupled to thin microchannels, may be prone to
24 failure or blockage. In particular, thin channels may become narrowed or partially-
25 blocked due to buildup of channel contamination, which, in turn, may lead to mechanical
26 failure of associated devices. Current microfluidic devices also lack flexibility, for they
27 rely upon a fixed pathway of microchannels. With fixed pathways, devices are limited in

1 the number and type of tasks they may perform. Also, using fixed pathways makes many
2 types of metering, transport, and manipulation difficult. With traditional devices, it is
3 difficult to partition one type of sample from another within a channel.

4 Electrical properties of materials have been employed to perform a limited
5 number of fluidic processing tasks. For example, dielectrophoresis has been utilized to
6 aid in the characterization and separation of particles, including biological cells. An
7 example of such a device is described in U. S. Patent No. 5,344,535 to Betts, incorporated
8 herein by reference. Betts establishes dielectrophoretic collection rates and collection
9 rate spectra for dielectrically polarizable particles in a suspension. Particle concentrations
10 at a certain location downstream of an electrode structure are measured using a light
11 source and a light detector, which measures the increased or decreased absorption or
12 scattering of the light which, in turn, indicates an increase or decrease in the
13 concentration of particles suspended in the fluid. Although useful for determining
14 particle dielectrophoretic properties, such a system is limited in application. In particular,
15 such a system does not allow for general fluidic processing involving various
16 interactions, sometimes performed simultaneously, such as metering, mixing, fusing,
17 transporting, division, and general manipulation of multiple reagents and reaction
18 products.

19 Another example of using certain electrical properties for specific types of
20 processing is disclosed in U.S. Patent No. 5,632,957 to Heller *et al.*, incorporated herein
21 by reference. There, controlled hybridization may be achieved using a matrix or array of
22 electronically addressable microlocations in conjunction with a permeation layer, an
23 attachment region and a reservoir. An activated microlocation attracts charged binding
24 entities towards an electrode. When the binding entity contacts the attachment layer,
25 which is situated upon the permeation layer, the functionalized specific binding entity
26 becomes covalently attached to the attachment layer. Although useful for specific tasks
27 such as DNA hybridization, room for improvement remains. In particular, such a system,
28 utilizing attachment sites for certain binding entities is designed for particular
29 applications and not for general fluidic processing of a variety of fluids. More

1 specifically, such a system is designed for use with charged binding entities that interact
2 with attachment sites.

3 Another example of processing is disclosed in U.S. Patent No. 5,126,022 to Soane
4 *et al.*, incorporated herein by reference. There, charged molecules may be moved through
5 a medium that fills a trench in response to electric fields generated by electrodes.
6 Although useful for tasks such as separation, room for improvement remains in that such
7 devices are not well suited for performing a wide variety of fluidic processing
8 interactions on a wide variety of different materials.

9 There are other examples of using dielectrophoresis for performing specific,
10 limited fluidic processing tasks. U.S. Patent No. 5,795,457 to Pethig and Burt,
11 incorporated herein by reference, disclose a method for promoting reactions between
12 particles suspended in liquid by applying two or more electrical fields of different
13 frequencies to electrode arrays. While perhaps useful for facilitating certain interactions
14 between many particles of different types, the method is not well suited for general
15 fluidic processing. U.S. Patent No. 4,390,403 to Batchelder, incorporated herein by
16 reference, discloses a method and apparatus for manipulation of chemical species by
17 dielectrophoretic forces. Although useful for inducing certain chemical reactions, its
18 flexibility is limited, and it does not allow for general, programmable fluidic processing.

19 Any problems or shortcomings enumerated in the foregoing are not intended to be
20 exhaustive but rather are among many that tend to impair the effectiveness of previously
21 known processing techniques. Other noteworthy problems may also exist; however,
22 those presented above should be sufficient to demonstrated that apparatus and methods
23 appearing in the art have not been altogether satisfactory.

24 **SUMMARY OF THE INVENTION**

25 In one respect, the invention is an apparatus for programmably manipulating a
26 packet. As used herein, “packet” refers to compartmentalized matter and may refer to a
27 fluid packet, an encapsulated packet, and/or a solid packet. A fluid packet refers to one or

1 more packets of liquids or gases. A fluid packet may refer to a droplet or bubble of a
2 liquid or gas. A fluid packet may refer to a droplet of water, a droplet of reagent, a
3 droplet of solvent, a droplet of solution, a droplet of sample, a particle or cell suspension,
4 a droplet of an intermediate product, a droplet of a final reaction product, or a droplet of
5 any material. An example of a fluid packet is a droplet of aqueous solution suspended in
6 oil. An encapsulated packet refers to a packet enclosed by a layer of material. An
7 encapsulated packet may refer to vesicle or other microcapsule of liquid or gas that may
8 contain a reagent, a sample, a particle, a cell, an intermediate product, a final reaction
9 product, or any material. The surface of an encapsulated packet may be coated with a
10 reagent, a sample, a particle or cell, an intermediate product, a final reaction product, or
11 any material. An example of an encapsulated packet is a lipid vesicle containing an
12 aqueous solution of reagent suspended in water. A solid packet refers to a solid material
13 that may contain, or be covered with a reagent, a sample, a particle or cell, an
14 intermediate product, a final reaction product, or any material. An example of a solid
15 packet is a latex microsphere with reagent bound to its surface suspended in an aqueous
16 solution. Methods for producing packets as defined herein are known in the art. Packets
17 may be made to vary greatly in size and shape, but in embodiments described herein,
18 packets may have a diameter between about 100 nm and about 1 cm.

19 In this respect, the invention includes a reaction surface, an inlet port, means for
20 generating a programmable manipulation force upon the packet, a position sensor, and a
21 controller. The reaction surface is configured to provide an interaction site for the packet.
22 The inlet port is coupled to the reaction surface and is configured to introduce the packet
23 onto the reaction surface. The means for generating a programmable manipulation force
24 upon the packet programmably moves the packet about the reaction surface along
25 arbitrarily chosen paths. As used herein, by "arbitrarily chosen paths" it is meant that
26 paths may be chosen to have any shape about the reaction surface. Arbitrarily chosen
27 paths are not limited to movements that are predefined. Arbitrarily chosen paths may be
28 modified in an unlimited manner about the reaction surface and may hence trace out any
29 pattern. The position sensor is coupled to the reaction surface and is configured to sense

1 a position of the packet on the reaction surface. The controller is coupled to the means
2 for generating a programmable manipulation force and to the position sensor. The
3 controller is configured to adjust the programmable manipulation force according to the
4 position.

5 In other aspects, the apparatus may also include an outlet port coupled to the
6 reaction surface. The outlet port may be configured to collect the packet from the
7 reaction surface. The means for generating a manipulation force may include a conductor
8 adapted to generate an electric field. The means for generating a manipulation force may
9 include a light source. The manipulation force may include a dielectrophoretic force, an
10 electrophoretic force, an optical force, a mechanical force, or any combination thereof.
11 The position sensor may include a conductor configured to measure an electrical
12 impedance of the packet. The position sensor may include an optical system configured
13 to monitor the position of the packet. The means for generating a programmable
14 manipulation force and the position sensor may be integral.

15 In another respect, the invention is an apparatus for microfluidic processing by
16 programmably manipulating packets. The apparatus includes a reaction surface, an inlet
17 port, an array of driving electrodes, and an array of impedance sensing electrodes. As
18 used herein, an “array” refers to any grouping or arrangement. An array may be a linear
19 arrangement of elements. It may also be a two dimensional grouping having columns and
20 rows. Columns and rows need not be uniformly spaced or orthogonal. An array may also
21 be any three dimensional arrangement. The reaction surface is configured to provide an
22 interaction site for the packets. The inlet port is coupled to the reaction surface and is
23 configured to introduce the packets onto the reaction surface. The array of driving
24 electrodes is coupled to the reaction surface and is configured to generate a
25 programmable manipulation force upon the packets to direct the microfluidic processing
26 by moving the packets along arbitrarily chosen paths. The array of impedance sensing
27 electrodes is coupled to the reaction surface and is configured to sense positions of the
28 packets during the microfluidic processing.

1 In other aspects, the apparatus may also include an outlet port coupled to the
2 reaction surface. The outlet port may be configured to collect the packets from the
3 reaction surface. The apparatus may also include a controller coupled to the array of
4 driving electrodes and to the array of impedance sensing electrodes. The controller may
5 be adapted to provide a feedback from the array of impedance sensing electrodes to the
6 array of driving electrodes. The array of driving electrodes and the array of impedance
7 sensing electrodes may be integral. The apparatus may also include an integrated circuit
8 coupled to the array of driving electrodes and to the array of impedance sensing
9 electrodes. The apparatus may also include a coating modifying a hydrophobicity of the
10 reaction surface. The apparatus may also include a maintenance port.

11 In another respect, the invention is an apparatus for processing packets in a
12 partitioning medium. As used herein, a "partitioning medium" refers to matter that may
13 be adapted to suspend and compartmentalize other matter to form packets on a reaction
14 surface. A partitioning medium may act by utilizing differences in hydrophobicity
15 between a fluid and a packet. For instance, hydrocarbon molecules may serve as a
16 partitioning medium for packets of aqueous solution because molecules of an aqueous
17 solution introduced into a suspending hydrocarbon fluid will strongly tend to stay
18 associated with one another. This phenomenon is referred to as a hydrophobic effect, and
19 it allows for compartmentalization and easy transport of packets upon or over a surface.
20 A partitioning medium may also be a dielectric carrier liquid which is immiscible with
21 sample solutions. Other suitable partitioning mediums include, but are not limited to, air,
22 aqueous solutions, organic solvents, oils, and hydrocarbons. The apparatus includes a
23 chamber, a programmable dielectrophoretic array, and an impedance sensing array. As
24 used herein, a "programmable dielectrophoretic array" (PDA) refers to an electrode array
25 whose individual elements can be addressed with different electrical signals. The
26 addressing of electrode elements with electrical signals may initiate different field
27 distributions and generate dielectrophoretic manipulation forces that trap, repel, transport,
28 or perform other manipulations upon packets on and above the electrode plane. By
29 programmably addressing electrode elements within the array with electrical signals,

1 electric field distributions and manipulation forces acting upon packets may be
2 programmable so that packets may be manipulated along arbitrarily chosen or
3 predetermined paths. The chamber is configured to contain the packets and the
4 partitioning medium. The programmable dielectrophoretic array is coupled to the
5 chamber and is configured to generate a programmable dielectrophoretic force to direct
6 processing of the packets. The impedance sensing array of electrodes is integral with the
7 programmable dielectrophoretic array. The impedance sensing array of electrodes is
8 configured to sense a position of the packets within the chamber.

9 In other aspects, the apparatus may also include an integrated circuit coupled to
10 the programmable dielectrophoretic array and to the impedance sensing array of
11 electrodes. The apparatus may also include a controller coupled to the programmable
12 dielectrophoretic array and to the impedance sensing array of electrodes. The controller
13 may be adapted to provide a feedback from the impedance sensing array of electrodes to
14 the programmable dielectrophoretic array. The electrodes may be between about 1
15 micron and about 200 microns and may be spaced between about 1 micron and about 200
16 microns.

17 In another respect, the invention is a method for manipulating a packet in which
18 the following are provided: a reaction surface, an inlet port coupled to the reaction
19 surface, means for generating a programmable manipulation force upon the packet, a
20 position sensor coupled to the reaction surface, and a controller coupled to the means for
21 generating a programmable manipulation force and to the position sensor. A material is
22 introduced onto the reaction surface with the inlet port. The material is
23 compartmentalized to form the packet. A position of the packet is sensed with the
24 position sensor. A programmable manipulation force is applied on the packet at the
25 position with the means for generating a programmable manipulation force. The
26 programmable manipulation force is adjustable according to the position by the
27 controller. The packet is programmably moved according to the programmable
28 manipulation force along arbitrarily chosen paths.

1 In other aspects, the packet may include a fluid packet, an encapsulated packet, or
2 a solid packet. The compartmentalizing may include suspending the material in a
3 partitioning medium. The material may be immiscible in the partitioning medium. The
4 reaction surface may include a coating, and the hydrophobicity of the coating may be
5 greater than a hydrophobicity of the partitioning medium. The application of the
6 programmable manipulation force may include applying a driving signal to one or more
7 driving electrodes arranged in an array to generate the programmable manipulation force.
8 The programmable manipulation force may include a dielectrophoretic force, an
9 electrophoretic force, an optical force, a mechanical force, or any combination thereof.
10 The sensing of a position may include applying a sensing signal to one or more
11 impedance sensing electrodes arranged in an array to detect an impedance associated with
12 the packet.

13 In another respect, the invention is a method of fluidic processing in which the
14 following are provided: a reaction surface, an inlet port coupled to the reaction surface, an
15 array of driving electrodes coupled to the reaction surface, and an array of impedance
16 sensing electrodes coupled to the reaction surface. One or more materials are introduced
17 onto the reaction surface with the inlet port. The one or more materials are
18 compartmentalized to form a plurality of packets. A sensing signal is applied to one or
19 more of the impedance sensing electrodes to determine a position of one or more of the
20 plurality of packets. A driving signal is applied to one or more of the driving electrodes
21 to generate a programmable manipulation force on one or more of the plurality of packets
22 at the position. One or more of the plurality of packets are interacted according to the
23 programmable manipulation force.

24 In other aspects, at least one of the plurality of packets may include a fluid packet,
25 an encapsulated packet, or a solid packet. The sensing signal and the driving signal may
26 be a single processing signal. The processing signal may include a first frequency
27 component corresponding to the sensing signal and a second frequency component
28 corresponding to the driving signal. A packet distribution map may be formed according
29 to the positions of the plurality of packets. A position of one or more obstructions on the

1 reaction surface may be determined. The interacting of one or more packets may include
2 moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing,
3 collecting, or any combination thereof.

4 In another respect, the invention is a method for manipulating one or more packets
5 on a reaction surface in which the following are provided: a programmable
6 dielectrophoretic array coupled to the reaction surface and an impedance sensing array of
7 electrodes integral with the programmable dielectrophoretic array. A material is
8 introduced onto the reaction surface. The material is compartmentalized to form the one
9 or more packets. A path is specified upon the reaction surface. A programmable
10 manipulation force is applied with the programmable dielectrophoretic array on the one
11 or more packets to move the one or more packets along the path. A position of the one or
12 more packets is sensed with the impedance sensing array of electrodes. Whether the
13 position corresponds to the path is monitored. The one or more packets are interacted.

14 In other aspects, at least one of the one or more packets may include a fluid
15 packet, an encapsulated packet, or a solid packet. The method may also include sensing a
16 position of an obstruction; determining a modified path, the modified path avoiding the
17 obstruction; and applying a programmable manipulation force on the one or more packets
18 to move the one or more packets along the modified path. The specification of a path
19 may include specifying an initial position and a final position. The introduction of the
20 material may include extracting the material with a dielectrophoretic extraction force
21 from an injector onto the reaction surface. The interacting of one or more packets may
22 include moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing,
23 collecting, or any combination thereof.

24 Other features and advantages of the present invention will become apparent with
25 reference to the following description of typical embodiments in connection with the
26 accompanying drawings wherein like reference numerals have been applied to like
27 elements, in which:

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a simplified schematic diagram that illustrates a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 2 is a simplified illustration of dielectrophoretic force phenomenon.

FIG. 3 illustrates a position sensing system according to one embodiment of the presently disclosed method and apparatus.

FIG. 4 is a three dimensional view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 5 is a side cross sectional view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 6 is a simplified block representation of a microfluidic system according to one embodiment of the presently disclosed method and apparatus.

FIG. 7 is a simplified block representation of a signal application arrangement according to one embodiment of the presently disclosed method and apparatus.

FIG. 8 is a cross sectional view of microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 9 is a top view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 9B is another top view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 10 is a simplified block representation of a microfluidic system according to one embodiment of the presently disclosed method and apparatus.

1 **FIG. 11** is a top view of a microfluidic device showing a microfluidic process
2 according to one embodiment of the presently disclosed method and apparatus.

3 **FIG. 12** illustrates certain packet interactions according to one embodiment of the
4 presently disclosed method and apparatus.

5 **FIG. 13** is a flow chart showing a microfluidic process according to one
6 embodiment of the presently disclosed method and apparatus.

7

8 **DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS**

9 The disclosed method and apparatus provide many advantages. For instance, they
10 permit the fluidic processing of minute quantities of samples and reagents. The apparatus
11 need not use conventional hardware components such as valves, mixers, pump. The apparatus
12 may be readily miniaturized and its processes may be automated or
13 programmed. The apparatus may be used for many different types of microfluidic
14 processing and protocols, and it may be operated in parallel mode whereby multiple
15 fluidic processing tasks and reactions are performed simultaneously within a single
16 chamber. Because it need not rely on narrow tubes or channels, blockages may be
17 minimized or eliminated. Further, if obstructions do exist, those obstructions may be
18 located and avoided with position sensing techniques.

19 Allowing for flexible microfluidic processing, the disclosed method and apparatus
20 has vast applications including, but not limited to, blood and urine assays, pathogen
21 detection, pollution monitoring, water monitoring, fertilizer analysis, the detection of
22 chemical and biological warfare agents, food pathogen detection, quality control and
23 blending, massively parallel molecular biological protocols, genetic engineering,
24 oncogene detection, and pharmaceutical development and testing.

25 In one embodiment of the disclosed method and apparatus, a fluidic device **10** as
26 shown in **FIG. 1** is employed. As illustrated, fluidic device **10** may include a reaction

1 surface **12**, a port **15**, packets **21**, wall **22**, position sensor **23**, a force generator **25**, and a
2 controller **81**.

3 In operation, one or more materials may be introduced onto reaction surface **12**
4 through port **15**. The one or more materials may be compartmentalized to form
5 packets **21** within a partitioning medium (not shown). Force generator **25** generates a
6 manipulation force on packets **21** to facilitate fluidic manipulations and interactions. In
7 the illustrated embodiment, force generator **25** generates two forces, F_1 and F_2 , that
8 manipulate packets **21** and moves them according to the dashed lines of **FIG. 1**. Position
9 sensor **23** senses the positions of packets **21** and is able to monitor any packet
10 interactions. As position sensor **23** is coupled to force generator **25** by controller **81**, a
11 feedback relationship may be established. Such feedback may include determination of
12 the position of packets **21** on reaction surface **12** that allows for the application of
13 manipulation forces on packets **21** based on position information. The position of
14 packets during manipulation may thus be continuously monitored and this information
15 may be used to continuously adjust one or more manipulation forces so to achieve
16 movement of packets **21** along a desired trajectory to a desired location on reaction
17 surface **12**.

18 In the illustrated embodiment of **FIG. 1**, forces F_1 or F_2 may include many
19 different types of forces. For instance, forces F_1 and F_2 may be dielectrophoretic,
20 electrophoretic, optical (as may arise, for example, through the use of optical tweezers),
21 mechanical (as may arise, for example, from elastic traveling waves or from acoustic
22 waves), or any other suitable type of force (or combination thereof). In one embodiment,
23 forces F_1 and F_2 may be programmable. Using programmable forces, packets may be
24 manipulated along arbitrarily chosen paths.

25 In the illustrated embodiment of **Fig. 1**, position sensor **23** may be operated with
26 various mechanisms to sense positions of packets **21**. For instance, an optical imaging
27 system may be used to determine and monitor packet positions. Specifically, an optical
28 microscope may be connected to a CCD imaging camera, which may be interfaced with

1 an imaging card in a computer. The information from the imaging card may be processed
2 in the computer using image-analysis software. Alternatively, a CCD imaging device
3 may be incorporated in or above the reaction surface 12 to monitor the positions of
4 packets. Thus, positions of packets and their movement on reaction surface 12 may be
5 continuously monitored and recorded in the computer. A different mechanism of packet
6 position sensing uses electrical impedance measurements. The presence or absence of a
7 packet between two electrode elements may affect the electrical impedance between the
8 electrodes. Thus, measurement of electrical impedance between electrode elements may
9 allow for indirect monitoring of packet positions.

10 In order to better understand the operation and design of the currently disclosed
11 method and apparatus, which will be discussed first in relation to dielectrophoretic forces,
12 it is useful to discuss dielectrophoretic theory in some detail. Such a discussion is aided
13 by **FIG. 2**, which illustrates two packets, 21a and 21b, both being subjected to
14 dielectrophoretic forces.

15 Dielectrophoretic forces may arise when a packet is placed in an inhomogeneous
16 electrical field (AC or DC). In **Fig. 2** the electrical field is weaker on the left side than on
17 the right side. An electrical field induces electrical polarizations in the packet. The
18 polarization charges are depicted at the two ends of the packets 21a and 21b along the
19 field lines 35. Dielectrophoretic forces result from the interaction between the induced
20 polarization (labeled as m_1 and m_2 in **FIG. 2**) and the applied inhomogeneous field. If a
21 packet is suspended in a medium having different dielectric properties, such as a
22 partitioning medium, then the packet may remain compartmentalized and may readily
23 respond to manipulation forces against viscous drag. In a field of non-uniform strength, a
24 packet may be directed towards either strong (packet 21a) or weak (packet 21b) electrical
25 field regions, depending on whether the packet is more (packet 21a) or less (packet 21b)
26 polarizable than a partitioning medium. In a field of non-uniform phase distribution (i.e.
27 a traveling electrical field), a packet may be directed towards field regions of larger or
28 smaller phase distribution, depending whether the packet has a longer or shorter dielectric
29 response time than that of a partitioning medium.

1 *DEP theory*

2 When a packet of radius r , suspended in an immiscible medium of different
3 dielectric properties, is subjected to an electrical field of frequency f , the polarization of
4 the packet can be represented using an effective dipole moment (Wang *et al.*, “A Unified
5 Theory of Dielectrophoresis and Traveling Wave Dielectrophoresis”, Journal of Physics
6 D: Applied Physics, Vol 27, pp. 1571-1574, 1994, incorporated herein by reference)

7
$$\vec{m}(f) = 4\pi\epsilon_m r^3 P_{CM}(f) \vec{E}(f) \quad (1)$$

8 where $\vec{m}(f)$ and $\vec{E}(f)$ are the dipole moment and field vectors in the frequency domain,
9 $P_{CM}(f)$ is the so-called Clausius-Mossotti factor, given by

10
$$P_{CM}(f) = (\epsilon_d^* - \epsilon_m^*) / (\epsilon_d^* + 2\epsilon_m^*). \quad (2)$$

11 Here $\epsilon_k^* = \epsilon_k - j\sigma_k / (2\pi f)$ are the complex permittivities of the packet material
12 ($k = d$) and its suspension medium ($k = m$), and ϵ and σ refer to the dielectric
13 permittivity and electrical conductivity, respectively. Using the effective dipole moment
14 method, the DEP forces acting on the packet are given by

15
$$\vec{F}(f) = 2\pi r^3 \epsilon_m \left(\text{Re}[P(f)] \nabla E_{(rms)}^2 + \text{Im}[P(f)] \left(E_{x0}^2 \nabla \phi_{x0} + E_{y0}^2 \nabla \phi_{y0} + E_{z0}^2 \nabla \phi_{z0} \right) \right) \quad (3)$$

16 where $E(rms)$ is the RMS value of the field strength, E_{i0} and ϕ_{i0} ($i=x, y, z$) are the
17 magnitude and phase, respectively, of the field components in a Cartesian coordinate
18 frame. Equation (3) shows that the DEP force contains two independent terms. The first,
19 relating to the real (in phase) part of the polarization factor $\text{Re}[P(f)]$ and to non-
20 uniformities in the field *magnitude* ($\nabla E_{(rms)}^2$). Depending on the sign of $\text{Re}[P(f)]$, this
21 force directs the packet either toward strong or weak field regions. The second term
22 relates to the imaginary (out of phase) part of the polarization factor ($\text{Im}[P(f)]$) and to
23 field phase non-uniformities ($\nabla \phi_{i0}$, $i=x, y, z$) that correspond to the field traveling
24 through space from large to small phase regions. Depending on the sign of $\text{Im}[P(f)]$,
25 this directs packets toward regions where the phase values of the field components are
26 larger or smaller.

1 Equations (1-3) indicate that the DEP phenomena have the following
2 characteristics:

3 (1) DEP forces experienced by packets are dependent on the dielectric
4 properties of the packets (ϵ_d^*) and the partitioning medium (ϵ_m^*).

5 (2) The strong dependence of three-dimensional DEP forces on the field
6 configuration allows for versatility in implementing dielectrophoretic manipulations.

7 *DEP Forces on Packets*

8 In one embodiment, a conventional dielectrophoresis component may be used for
9 packet manipulation. In this case, the DEP force is given by

10
$$\bar{F}(f) = 2\pi r^3 \epsilon_m \operatorname{Re}[P(f)] \nabla E_{(rms)}^2 \quad (4)$$

11 where r is the packet radius, ϵ_m is the dielectric permittivity of the suspending
12 fluid. $\operatorname{Re}[P(f)]$ is the real (in phase) part of the polarization factor and $\nabla E_{(rms)}^2$ is the
13 field non-uniformity factor. For packets of water ($\epsilon = 78$ and $\sigma > 10^{-4}$ S/m) suspended
14 in a hydrocarbon fluid ($\epsilon = \sim 2$ and $\sigma \sim 0$), the factor $\operatorname{Re}[P(f)]$ is always positive and
15 close to unity. Therefore, water packets are always attracted towards regions of large
16 field strength. For example, if an electrode array composed of circular electrodes
17 arranged in a hexagonal fashion is provided, water packets may be dielectrophoretically
18 moved towards and trapped between, for example, an electrode pair, over a single
19 electrode, or above a plurality of electrodes to which electrical signals are applied.
20 Switching the electrical signals may result in movement of the DEP traps and may cause
21 water packets to move in a chamber. Thus, packet manipulation may be realized by
22 switching electrical signals applied to an electrode array so that DEP field traps are made
23 "mobile" within a chamber.

1 *Typical Forces and Velocities*

2 For a water packet of 100 μm suspended in a hydrocarbon fluid such as decane,
3 the DEP force may be on the order of 1000 pN if the field non-uniformity is 1.25×10^{13}
4 V^2/m^3 (equivalent to 5V RMS applied to an electrode pair of distance 50 μm with the
5 field decaying to zero at 1000 μm). If the viscosity of the hydrocarbon fluid is small
6 (0.838 mPa for Decane), the packet velocity may be of the order of 600 $\mu\text{m/sec}$,
7 indicating that fast manipulation of packets is possible with electrode arrays. In the
8 above analysis, DEP force equation (4) has been used, which was developed for non-
9 deformable particles and holds well for suspended particles (such as cells, latex particles).
10 Fluid packets may be deformed under the influence of applied electrical field, affecting
11 the accuracy of equation (4) in describing DEP forces for packets. Nevertheless,
12 equation (4) should be generally applicable with some possible correction factors for
13 different packet shapes.

14 **FIG. 3** shows one possible implementation of position sensor 23 of **FIG. 2**.
15 Shown in **FIG. 3** are five impedance sensing electrodes 19, here illustrated as 19a, 19b,
16 19c, 19d, and 19e. Each sensing electrode 19 may be coupled to an impedance sensor 29,
17 here illustrated as impedance sensors 29a, 29b, 29c, and 29d. In one embodiment,
18 impedance sensing electrodes 19 may be positioned in operative relationship with surface
19 12 of fluidic device 10 in **FIG. 1**. For instance, sensing electrodes 19 may be placed on
20 or near surface 12. As packets 21 are manipulated about surface 12 by the application of
21 appropriate manipulation forces, impedance sensing electrodes 19 and sensors 29 may
22 sense a position of packets 21 by making one or more impedance measurements.

23 If the dielectric medium above an electrode is displaced by a packet having
24 different dielectric and/or conductive properties, the impedance detected at the electrode
25 element will change. Thus, one may determine the position of packets 21 by noting the
26 impedance measurements associated therewith. As is shown in **FIG. 3**, the impedance
27 between impedance sensing electrodes 19a and 19b is “high” (see impedance sensor 29d)
28 relative to, for instance, the impedance between impedance sensing electrodes 19b and

1 **19c** (see impedance sensor **29c**). Thus, by pre-determining that the “high” impedance
2 value corresponds to the impedance due to the partitioning medium, it may be deduced
3 that some material of different impedance to the partitioning medium lies somewhere
4 between impedance sensing electrodes **19d** and **19e** and between **19b** and **19c** because the
5 impedance associated with those electrodes is “ low” (see impedance sensor **29a**). By
6 like reasoning, one may assume that no packet lies between impedance sensing electrodes
7 **19c** and **19d**, for the impedance between those two electrodes is relatively “ high” (see
8 impedance sensor **29b** and **29c**).

9 Those of skill in the art will appreciate that the “low” and “high” values discussed
10 above may be reversed, depending upon the relative impedances of a packet and of a
11 suspending medium. In other words, in some situations, a relatively “high” impedance
12 measurement may signal the presence of a packet in between a pair of electrodes while a
13 relatively “low” impedance may signal the lack of a packet. Those of skill in the art will
14 also appreciate that individual impedance measurements may exhibit a wide range of
15 values (not just “low” or “high”), and it may be possible to characterize different types
16 and sizes of materials by noting their associated impedance measurements. For instance,
17 one may be able to differentiate, by type, the two packets **21** of **FIG. 3** by noting any
18 differences in their impedance readings on impedance sensors **29a** and **29c**.

19 Impedance sensing may be based on the so-called mixture theory, which
20 associates the impedance of a heterogeneous system with the dielectric properties of
21 various system components and their volume fractions. Take a two-component,
22 heterogeneous system where component 2 having complex dielectric permittivity
23 ($\epsilon_2^* = \epsilon_2 - j \frac{\sigma_2}{2\pi f}$, f is the frequency) and a volume fraction α is suspended in
24 component 1 having complex dielectric permittivity ($\epsilon_1^* = \epsilon_1 - j \frac{\sigma_1}{2\pi f}$). The complex
25 permittivity of the total system is given by (Wang *et al.*, "Theoretical and experimental
26 investigations of the interdependence of the dielectric, dielectrophoretic and

1 electrorotational behavior of colloidal particles" in J. Phys. D: Appl. Phys. 26: 312-322,
2 1993, incorporated herein by reference)

3

$$\varepsilon_{sys}^* = \varepsilon_1^* \frac{\frac{1}{\alpha} + 2 \frac{\varepsilon_2^* - \varepsilon_1^*}{\varepsilon_2^* + 2\varepsilon_1^*}}{\frac{1}{\alpha} - \frac{\varepsilon_2^* - \varepsilon_1^*}{\varepsilon_2^* + 2\varepsilon_1^*}}.$$

4 The total impedance of the system, which is assumed to have length L and cross-
5 sectional area A, is given by

6

$$\Omega = \frac{L}{\omega \varepsilon_{sys}^* A}.$$

7 The electrical impedance between two electrode elements in the presence or
8 absence of a packet may be analyzed using the above equations, with the parameters L
9 and A determined experimentally. The existence of a packet may correspond to $\alpha > 0$ and
10 the absence of a packet may correspond to $\alpha = 0$. From these equations, an impedance
11 change would occur when a packet having different dielectric property (ε_2^*) from the
12 partitioning media (ε_1^*) is introduced into the space between the two electrode elements.

13 A relatively low impedance measurement may indicate an obstruction or a packet
14 (as is illustrated in **FIG. 3**) on or near surface **12**. By determining impedance values, one
15 may map locations of obstructions or packets relative to surface **12**. In this way, one may
16 generate a packet and/or obstruction distribution map with respect to reaction surface **12**
17 of fluidic device **10**. With the benefit of this disclosure, one of skill in the art will
18 appreciate that the description associated with **FIG. 3** may be implemented in many
19 different ways. In particular, one may use any suitable type of impedance measurement
20 devices known in the art to function with one or more electrodes. Such devices may
21 include an impedance analyzer, a DC/AC conductance meter, or any circuit based upon
22 methods of operation of these or other instruments having similar function.

1 **FIG. 4** shows a three dimensional view of one embodiment of a fluidic device **10**
2 according to the present disclosure. Fluidic device **10** includes reaction surface **12**, an
3 inlet port **14**, an outlet port **16**, driving electrodes **18**, impedance sensing electrodes **19**,
4 connectors **20**, and wall **22**.

5 Reaction surface **12** provides an interaction site for packets. In one embodiment,
6 reaction surface **12** may be completely or partially covered with a partitioning medium
7 (not shown in **FIG. 4**) or other substance. In one embodiment, reaction surface **12** may
8 be coated. In particular, for manipulation of aqueous packets in a hydrophobic
9 partitioning medium, reaction surface **12** may include a hydrophobic coating, or layer,
10 having a hydrophobicity similar to or greater than the hydrophobicity of the partitioning
11 medium. Such a coating may prevent an aqueous packet from sticking, from spreading,
12 or from becoming unstable upon contact with reaction surface **12**. Additionally, a coating
13 may modify association and/or interaction forces between packets and reaction surfaces
14 to facilitate manipulation of packets by appropriate manipulation forces. Further, a
15 coating may be used to reduce contamination of reaction surfaces by reagents in packets.
16 Still further, a coating may facilitate the deliberate adhesion, wetting, or sensing of
17 packets at or on reaction surfaces. If a dielectric layer coating is applied, the layer should
18 be made sufficiently thin to allow AC electric field penetration through the dielectric
19 layer. In one embodiment, the thickness of the layer may be between about 2 nm and
20 about 1 micron. In one embodiment, a hydrophobic coating may be Teflon that may be
21 applied by means known in the art such as sputtering or spin-coating. It is to be
22 understood that any other suitable coating that modifies an interaction between packets
23 and the reaction surface may be used.

24 Reaction surface **12** may be formed from a number of suitable materials. In the
25 illustrated embodiment, reaction surface **12** is a planar surface that has an upper surface
26 including driving electrodes **18** and impedance sensing electrodes **19**. Although
27 illustrated as being coplanar with reaction surface **12**, it is to be understood that driving
28 electrodes **18** and **19** may also be elevated or depressed with respect to reaction
29 surface **12**. Likewise, reaction surface **12** need not be planar. Rather, it may have

1 concave or convex portions, or it may be deformed in some other manner. Reaction
2 surface **12** may be glass, silicon dioxide, a polymer, a ceramic, or any suitable electrically
3 insulating material. The dimensions of reaction surface **12** may vary widely depending
4 on the application but may be between about 20 microns by about 20 microns and about
5 50 centimeters by about 50 centimeters. More particularly, reaction surface **12** may be
6 between about 3 millimeters by about 3 millimeters and about 30 centimeters by about 30
7 centimeters.

8 Inlet port **14** may be adapted to inject or introduce materials onto reaction
9 surface **12** and may be any structure allowing ingress to reaction surface **12**. In the
10 illustrated embodiment, inlet port **14** consists of an opening in wall **22**. Such an opening
11 may be of any suitable size or shape. Alternatively, inlet port **14** may be a syringe needle
12 a micropipette, a tube, an inkjet injector, or any other suitable device able to inject a
13 material for introduction onto reaction surface **12**. Using a micropipette or equivalent
14 device, wall **22** may not need to include any openings. Rather, material may be
15 introduced onto reaction surface **12** from above. A micropipette or any other equivalent
16 device may be attached to a micromanipulation stage (not shown in **FIG. 4**) so that
17 material may be precisely deposited onto specific locations of reaction surface **12**. In one
18 embodiment, inlet port **14** may consist of a cylindrical tube opening onto reaction
19 surface **12**. Such a tube may have a diameter of between about 1 micrometer and about 1
20 mm and, more particularly, between about 10 and 100 microns.

21 Outlet port **16** may be adapted to collect packets of material from reaction surface
22 **12**. Outlet port **16** may be any structure allowing egress from reaction surface **12**. In the
23 illustrated embodiment, outlet port **16** consists of an opening in wall **22**. The opening
24 may be of any suitable size or shape. Alternatively, outlet port **16** may be a micropipette
25 or any other equivalent device able to collect a material from reaction surface **12**. Wall
26 **22** may not need to include any openings. Rather, packets of material may be collected
27 from reaction surface **12** from above. A syringe or any other equivalent device may be
28 attached to a micromanipulation stage (not shown in **FIG. 4**) so that packets may be
29 precisely collected from specific locations on reaction surface **12**. In one embodiment,

1 outlet port **16** may consist of a cylindrical tube opening onto reaction surface **12**. Such a
2 tube may have a diameter of about 1 millimeter and a length of about 3 centimeters or
3 longer.

4 In one embodiment, inlet port **14** and outlet port **16** may be integral. For instance,
5 in the embodiment shown in **FIG. 1** port **15** is a cylindrical tube opening onto reaction
6 surface **12**. In alternative embodiments, one micropipette may serve as both an inlet port
7 and an outlet port. Alternatively, a single opening in wall **22** may serve both input and
8 output functions. In another embodiment, multiple inlet and outlet ports may be utilized.

9 Fluidic device **10** may include an arbitrary number of inlet and outlet ports. For
10 example, any one of the three unnumbered openings in wall **22**, illustrated in **FIG. 4**, may
11 serve as an inlet port, an outlet port, or an integral inlet-outlet port, such as port **15** of
12 **FIG. 1**. In another embodiment, multiple inlet and/or outlet ports may extend completely
13 or partially along a wall **22** so that materials may be introduced and/or collected to and/or
14 from reaction surface **12**. In such an embodiment, one may more precisely introduce or
15 collect materials.

16 In **FIG. 4**, driving electrode **18** is one of a number of other driving electrodes
17 arranged in an array upon reaction surface **12**. In this embodiment, driving electrodes **18**
18 may be associated with force generator **25** of **FIG. 1**, for the driving electrodes **18** may
19 contribute to the generation of forces, such as forces F_1 and F_2 of **FIG. 1**, to manipulate
20 packets of material on reaction surface **12** to promote, for instance, microfluidic
21 interactions.

22 Dielectrophoretic forces may be generated by an array of individual driving
23 electrodes **18** fabricated on an upper surface of a reaction surface **12**. The driving
24 electrode elements **18** may be individually addressable with AC or DC electrical signals.
25 Applying an appropriate signal to driving electrode **18** sets up an electrical field that
26 generates a dielectrophoretic force that acts upon a packet, known to be at a certain
27 location through impedance measurements as described above in relation to **FIG. 3**.
28 Switching different signals to different electrodes sets up electrical field distributions

1 within fluidic device 10. Such electrical field distributions may be utilized to manipulate
2 packets in a partitioning medium.

3 In particular, the movement of packets under the influence of a manipulation force
4 may be controlled by switching appropriate electrical signals to different combinations of
5 driving electrodes 18. Specifically, the switching of electrical signals may initiate
6 different field distributions and generate manipulation forces that trap, repel, transport, or
7 perform other manipulations upon packets of material. By programmably switching
8 electrical signals to different combinations of driving electrodes 18 within an array,
9 electric field distributions and manipulation forces acting upon packets may be
10 programmable so that packets may be manipulated along arbitrarily chosen or
11 predetermined paths in a partitioning medium along reaction surface 12. Thus, packets
12 may be manipulated in an unlimited manner. Signals may be appropriately switched to
13 cause, for instance, a packet to move a single “unit distance” -- a distance between two
14 neighboring electrodes. Further, by programmably switching electrical signals, different
15 microfluidic reactions may be performed in series or in parallel. An electrode array
16 having such an ability to utilize programmable dielectrophoretic forces by programmed
17 switching of electrical signals to different combinations of driving electrodes 18 may be
18 termed a programmable dielectrophoretic array (PDA).

19 In **FIG. 4**, impedance sensing electrode 19 is one of a number of other impedance
20 sensing electrodes arranged in an array upon reaction surface 12. In this embodiment,
21 impedance sensing electrodes 19 may be associated with position sensor 23 of **FIG. 1** and
22 is illustrated in **FIG. 3**. Impedance sensing electrodes 19 contribute to the sensing of
23 packet positions upon reaction surface 12 so that those packets of material may be
24 monitored and manipulated according to position.

25 In the illustrated embodiment, driving electrodes 18 and impedance sensing
26 electrodes 19 are electrodes of a two dimensional electrode array coupled to a top surface
27 of reaction surface 12. The size of the array may vary according to need, but in one
28 embodiment a 16 by 16 array is employed. Because fluidic device 10 is scaleable,

1 smaller or larger arrays may be fabricated without significant departure from the present
2 disclosure. For example, 256 by 256 arrays or larger may be made according to the
3 present disclosure. Driving electrodes **18** and impedance sensing electrodes **19** within an
4 array may be uniformly or non-uniformly spaced. The spacing may vary widely, but in
5 one embodiment, the spacing may be between about 2 microns and about 200 microns.
6 The electrodes may have different forms such as lines, squares, circles, diamonds,
7 polygons, or other suitable shapes. The dimensions of each electrode may vary, but a
8 typical electrode may be between about 0.2 microns and about 10 mm., and more
9 particularly, between about 1 micron and about 200 microns. Driving electrodes **18** and
10 impedance sensing electrodes **19** may be formed using any method known in the art. In
11 one embodiment, such electrodes may be formed using standard photolithography
12 techniques. For example, one may refer to, e.g., D. Qin *et al*, "Microfabrication,
13 Microstructures and Microsystems", Microsystem Technology in Chemistry and Life
14 Sciences (Ed. Manz and Becker), Springer, Berlin, 1997, pp 1- 20, which is incorporated
15 herein by reference. Also, one may refer to Madou, Fundamentals of Microfabrication,
16 CRC Press, Boca Raton, 1997, which is incorporated herein by reference. Depending
17 upon the particular application, and the nature of the packets and partitioning medium,
18 the size and spacing of electrodes **18** and **19** may be smaller than, of similar size, or larger
19 than the diameters of the packets.

20 In one embodiment, impedance sensing electrodes **19** may be integral with
21 driving electrodes **18**. In such an embodiment, the resulting array may be termed an
22 integral array. With an integral array, a single conductor coupled to reaction surface **12**
23 may serve both purposes -- driving packets and sensing positions of packets. Thus, a
24 programmable manipulation force may be generated upon packets upon reaction surface
25 **12** and a position of those packets may be sensed with a single electrode array.

26 In the embodiment of **FIG. 4**, wall **22** is adapted to enclose one or more sides of
27 reaction surface **12**. It is to be understood that wall **22** may be any suitable structure
28 capable of enclosing one or more sides and/or the top of reaction surface **12**. As
29 illustrated, wall **22** encloses four sides of reaction surface **12**, defining an open reaction

1 surface chamber. In a most typical embodiment, the chamber may have a thickness of
2 between about 10 microns and about 20 millimeters. In another embodiment, wall 22
3 may enclose the top of reaction surface 12, forming a closed reaction chamber.

4 Wall 22 may be formed from any suitable material. In one embodiment, wall 22
5 may be made from machined plastic, aluminum, glass, plastic, ceramic, or any
6 combination thereof. In one embodiment, wall 22 may be partially or completely
7 transparent to certain wavelengths of radiation. Thus, radiation may be transmitted
8 through wall 22 to initiate or maintain certain microfluidic reactions or processes for
9 sensing. For instance, a photochemical reaction may be initiated through wall 22.

10 Connectors 20 of FIG. 4 may be adapted to provide electrical connections to
11 driving electrodes 18 and to impedance sensing electrodes 19. Connectors 20 may
12 provide electrical connections to an entire array of electrodes, or to preselected ones or
13 groups. In one embodiment, connectors 20 are coupled to a controller (not shown in
14 FIG. 4) that may adjust a programmable manipulation force distribution generated by
15 driving electrodes 18 according one or more packets position sensed with impedance
16 sensing electrodes 19. Thus, such a controller may effectively provide a feedback
17 mechanism between the driving electrodes 18 and the impedance sensing electrodes 19 --
18 The signals applied to driving electrodes 18 may be adjusted in view of one or more
19 results from the impedance sensing electrodes 19.

20 Turning now to FIG. 5, there is shown a side cross section view of a fluidic
21 device 10 according to the present disclosure. Fluidic device 10 includes a reaction
22 chamber 41 and an array of integral impedance sensing and driving electrodes, integral
23 array 43. In the illustrated embodiment, a control chip 60 is coupled to integral array 43.
24 Positioned upon a top surface of control chip 60 may be capillary wall 62 that forms a
25 lower surface of a capillary 64. Capillary 64 may lead to an inlet port 14 that leads into
26 chamber 41. Although illustrated with only one inlet port, it is contemplated that there
27 may be several such ports providing access to chamber 41. Above capillary 64 is a

1 substrate **66** that, in one embodiment, is made of glass although any suitable material
2 known in the art may be utilized instead.

3 In one embodiment, control chip **60** may be an integrated circuit configured to
4 control integrated array **43**. Alternatively, control chip **60** may be a control interface
5 leading to another controlling device such as an integrated circuit, computer, or similar
6 device that may control integral array **43**. Control chip **60** may utilize flip-chip
7 technology or any other suitable technique to establish electrical control over integral
8 array **43** by switching different signals to and from it.

9 **FIG. 6** shows a controller **81** according to one embodiment of the presently
10 disclosed method and apparatus. Controller **81** may include a computer **80**, a signal
11 generator **82**, an electrode selector **84**, a transducer **88**, and a fluidic device **10** having a
12 driving electrode **18** and an impedance sensing electrode **19**.

13 Computer **80** may be configured to control fluidic device **10** and the fluid
14 processing occurring upon reaction surface **12**. Computer **80** may have a user interface
15 that allows for simple programming of signal generator **82** and transducer **88**, which
16 measures impedance, to allow for programmable microfluidic processing. In particular,
17 computer **80** may programmably control the initiation/termination of one or more signals
18 from signal generator **82**, the parameters of the one or more signals including frequencies,
19 voltages, and particular waveforms, and control the switching of one or more signals
20 from generator **82** to different combinations of electrodes **18** and **19**.

21 Computer **80** may vary signals in many ways. For instance, one signal having a
22 first frequency component may be sent through electrode selector **84** to a driving
23 electrode **18** while another signal having a second, different frequency component may be
24 sent to, for instance, an impedance sensing electrode **19** and through electrode
25 selector **84**. Any sequence of signals or combinations of signals may be sent different
26 combinations of electrodes and from the fluidic device **10**. Any signal parameter may be
27 varied and any electrode selection may be controlled so that appropriate electric fields

1 may be established at particular locations upon reaction surface 12. Alternating Current
2 or Direct Current signals may be utilized.

3 Signal generator 82 may send a driving signal to one or more driving electrodes
4 18 while sending a sensing signal to one or more impedance sensing electrodes 19. In
5 one embodiment, the driving signal and the sensing signal may comprise a single,
6 composite processing signal having different frequency components. Such a signal may
7 be used with an integrated array to provide (*via* a single processing signal) a frequency
8 component to generate a programmable manipulation force and a frequency component to
9 provide an impedance sensing signal. The manipulation and impedance sensing
10 components may also be combined by multiplexing or switching in time as is known in
11 the art.

12 In one embodiment, signal generator 82 provides one or more programmable
13 driving signals to one or more driving electrodes 18 through electrode selector 84 so that
14 a programmable alternating-current electric field, such as a non-uniform field, may be
15 produced at reaction surface 12. That electric field may induce polarization of packets of
16 materials adjacent to or in the vicinity of the one or more driving electrodes 18. A
17 programmable dielectrophoretic force distribution may, in this manner, be generated that
18 manipulates packets in a controllable, programmable manner so that varied
19 programmable fluidic interactions may take place upon reaction surface 12.

20 In one embodiment, signal generator 82 provides a sensing signal to one or more
21 impedance sensing electrodes 19 so that an impedance measurement may be made. The
22 impedance sensing signal may be applied to one or more pairs of impedance sensing
23 electrodes 19 and a change in voltage or current may be detected and transmitted to
24 computer 80 *via* sensing electrodes 88 and wire 86. Computer 80 may then compute the
25 impedance and hence, determine whether a packet or obstruction was present at or near
26 the pair(s) of impedance sensing electrodes 19 being probed.

27 In an embodiment utilizing a single integrated array (instead of separate
28 impedance sensing and driving electrode arrays, an integrated array utilizes electrodes

1 that function to both drive and sense packets), the integrated array may both generate a
2 programmable manipulation force and sense an impedance. In one approach, electrical
3 sensing signals for sensing electrode impedance may be applied at different frequencies
4 from driving signals for manipulation of packets. Summing signal amplifiers (not
5 shown) may be used to combine signals from sensing and driving electronics. By using a
6 frequency filter network (not shown), electrode impedance sensing signals may be
7 isolated from the driving signals. For example, a constant current at sensing frequency f_s
8 may be applied to integrated electrode pairs to be measured. The sensing electronics 88 ,
9 may then be operated at only the applied frequency f_s to determine a voltage drops across
10 the integrated electrode pairs, thus allowing the impedance at the sensing frequency f_s to
11 be derived without interference from the driving signals.

12 In another embodiment, driving signals may be used to monitor electrical
13 impedance directly. Driving signals may be switched to one or more integrated
14 electrodes to generate a force to manipulate or interact packets upon a reaction surface.
15 Simultaneously, an electrical current sensing circuit may be used to measure electrical
16 current going through the energized integrated electrodes. Electrode impedances may be
17 derived from such measurements of electrical current.

18 Although any suitable device may be used, in one embodiment a function
19 generator is used as signal generator 82. More particularly, an arbitrary waveform signal
20 generator in combination with voltage or power amplifiers or a transformer may be used to
21 generate the required voltages. In one embodiment, signal generator 82 may provide
22 sine-wave signals having a frequency up to the range of GHz and more particularly
23 between about 1 kHz and about 10 MHz and a voltage between about 1 V peak-to-peak
24 and about 1000 V peak-to-peak, and more particularly, between about 10 V peak-to-peak
25 and about 100 V peak-to-peak.

26 As illustrated, signal generator 82 may be connected to an electrode selector 84.
27 Electrode selector 84 may apply one or more signals from signal generator 82 to one or
28 more individual electrodes (impedance sensing electrodes and/or driving electrodes may

1 be individually addressable). Electrode selector 84 may be one of a number of suitable
2 devices including a switch, a multiplexer, or the like. Alternatively, electrode selector 84
3 may apply one or more signals to one or more groups of electrodes. In one embodiment,
4 selector 84 is made of electronic switches or a multiplexer. Selector 84 may be digitally
5 controlled. With the benefit of this disclosure, those of skill in the art will understand
6 that selector 84 may be any suitable device that may programmably divert one or more
7 signals to one or more electrodes in any arbitrary manner.

8 As illustrated in **FIG. 6**, controller 81 provides a feedback loop mechanism from
9 impedance sensing electrodes 19 to driving electrodes 18 via computer 80, which itself is
10 coupled to signal generator 82, selector 84, and transducer 88. With the benefit of the
11 present disclosure, those of skill in the art will recognize that controller 81 may contain
12 more or fewer components. The feedback mechanism allows computer 80 to tailor its
13 commands to signal generator 82 according to positions of packets upon reaction surface
14 12, as determined by impedance sensing electrodes 19. Thus, controller 81 allows for the
15 adjustment of driving signals (and hence the adjustment of programmable manipulation
16 forces) according to positions of packets (as determined by impedance sensing electrodes
17 19). In embodiments utilizing an integral array of electrodes having integral impedance
18 sensing electrodes 19 and driving electrodes 18, a feedback mechanism may operate as
19 follows. Positions of packets may be determined by measuring impedances between
20 electrical elements by applying impedance sensing signals to the integral array. Position
21 information may then be used to control driving signals to the integral array to perform
22 microfluidic processing through the manipulation of packets. In one embodiment
23 computer 80 may be replaced by an application specific integrated circuit controller
24 (ASIC) designed specifically for the purpose.

25 **FIG. 7** shows an electrode driver 94 according to an embodiment of the presently
26 disclosed method and apparatus. Driver 94 includes a computer 80, a signal generator 82,
27 a resistor network 100, a switching network 104, and a bitmap 108. Driver 94 is coupled
28 to fluidic device 10 which includes reaction surface 12 and an integral array 43.

1 Driver 94 may assist in the application of signals to integral array 43 in order to
2 direct microfluidic interactions of packets of material upon reaction surface 12. In one
3 embodiment, computer 80 directs signal generator 82 to apply an AC signal to integral
4 array 43. In the illustrated embodiment, from signal generator 82 there may be provided,
5 for example, eight increasing voltage amplitudes using resistor network 100, although
6 more or fewer voltage amplitudes may be used. The eight AC signals may be distributed
7 by switching network 104 *via* connection 106 to the integral array 43 according to a
8 bitmap 108 or according to any other suitable data structure stored in computer 80 or in
9 another device. By modifying bitmap 108 *via* computer 80, different voltage amplitudes
10 may be applied to different electrodes.

11 In one embodiment, signals to each electrode of integral array 43 may be
12 represented in bitmap 108 by 3 bits to address eight available voltage amplitudes.
13 Voltage amplitude distributions of bitmap 108 may be transmitted sequentially to
14 switching network 104 *via* connection 110 twelve bits at a time using a communication
15 protocol as is known in the art. In one embodiment, the communication protocol may use
16 the following convention. To address a single electrode of integral array 43, the first four
17 bits may specify the row of the array. The second four bits may specify the column of the
18 array. The next three bits may specify the desired voltage to be applied. The last bit may
19 be used for error control by parity check. The rows/column arrangement may be used for
20 different layouts of arrays. For instance, the row/column convention of addressing may
21 be used even for a hexagonal grid array configuration. Those skilled in the art will
22 appreciate that other methods may be used to address the electronic switching network
23 104 from computer 80.

24 **FIG. 8** is side cross-section view of one embodiment of a fluidic device 10.
25 Fluidic device 10 includes a wall 22 which encloses the sides and top of a reaction
26 surface 12 to form a reaction chamber 41. Reaction surface 12 includes an integral array
27 43. Coupled to the integral array may be an interface board 54. Interface board 54 may
28 interface the integral array 43 with integrated circuits 50 *via* interconnect 55 and solder
29 bumps 52.

1 In the embodiment of **FIG. 8**, interface board **54** may be sandwiched between
2 chamber **41** and integrated circuits **50**. On one side, interface board **54** may provide
3 electrical signals (AC or DC) to electrodes of integral array **43**, while the other side of
4 interface board **54** may include pads for flip-chip mounting of integrated circuits **50**.
5 Intermediate layers of interface board **54** may contain electrical leads, interconnects and
6 vias, such as interconnect **55** to transfer power and signals to and from electrodes of
7 integral array **43** and integrated circuits **50**.

8 Interface board **54** may be fabricated using suitable PC-board and flip chip
9 technologies as is known in the art. Suitable silk-screened or electroplated flip-chip
10 solder bump techniques may likewise be used. Alternatively, ink-jet solder deposition
11 may be used as is known in the art.

12 **FIG. 9** is a top view of an embodiment of a fluidic device **10**. In the illustrated
13 embodiment, fluidic device **10** is made up of four distinct 8 by 8 integral arrays **43**,
14 forming a 16 by 16 array. Under each 8 by 8 array may be situated an integrated circuit
15 (not shown in **FIG. 9**) that may provide control and signal processing to electrodes of the
16 integral array **43**. The integral arrays may be coupled to a circuit conducting pad **34** that
17 may be coupled to an interface conducting pad **36** by a bond wire **38** (shown only in one
18 quadrant). Connected to interface conducting pad **36** may be wire **42**, or another suitable
19 connector such as a PC board connector, leading to a computer or other suitable
20 controlling device.

21 **FIG. 9B** is another top view of an embodiment of a fluidic device **10**. In this
22 embodiment, many ports **15** are situated along edges of fluidic device **10**. These ports **15**
23 may serve to inject and/or collect packets **21** to/from reaction surface **12**. Also illustrated
24 is a sensor **122** positioned adjacent a port **15**. Such a sensor is described in reference to
25 **FIG. 10** below.

26 **FIG. 10** is a block diagram of a microfluidic processing system **115**. Processing
27 system **115** may be designed to allow for control of programmable dielectrophoretic array
28 (PDA) **116** that serves as the site for microfluidic interactions and may be constructed in

1 accordance with the present disclosure. In view of its broad functionality, PDA 116 may
2 serve a role, in the field of fluidic processing, analogous to the role played by a Central
3 Processing Unit in the field of computers.

4 Coupled to PDA 116 are fluidic sensors 122. Fluidic sensors 122 may measure
5 and monitor fluid products from, in, or on PDA 116. For instance, fluidic sensors 122
6 may measure and identify reaction products and may quantify reactions between packets.
7 In one embodiment, fluidic sensors 122 may include an optical microscope or one or
8 more sensors (chemical, electrochemical, electrical, optical, or the like), but any other
9 suitable monitoring device known in the art may be substituted therewith. For example,
10 fluidic sensors 122 may be an electrochemical sensor that monitors the presence and
11 concentration of electroactive (redox-active) molecules in a packet solution. An
12 electrochemical sensor may take the form of two or more microelectrodes. In a three-
13 electrode configuration, for example, electrodes may correspond to working, reference,
14 and counter electrodes. A packet to be analyzed may be moved to be in contact with the
15 three electrodes. A voltage signal may be applied between the working and reference
16 electrode, and the current between the working and counter electrode may be monitored.
17 The voltage-current relationship allows for the determination of the presence or absence,
18 and concentration of electro-active molecules in the packet solution. Also attached to
19 PDA 116 may be suitable material injection and extraction devices 120 coupled to
20 appropriate inlet or outlet ports of PDA 116 (not shown in FIG. 10). Such devices may
21 be any suitable structure allowing ingress to and egress from PDA 116.

22 In electrical communication with PDA 116 may be PDA voltage drivers 126 and
23 dielectric position sensors 124. PDA voltage drivers 126 may be adapted to drive
24 electrodes within PDA 116 so that an electric field may be established that sets up
25 manipulation forces that manipulate one or more packets of material within PDA 116 to
26 promote microfluidic interactions. In one embodiment, PDA voltage drivers 126 may
27 include a signal generator and switching network as described in relation to FIG. 7.
28 Dielectric position sensors 124 may measure positions of packets within PDA 116. In
29 one embodiment, dielectric position sensors 124 may include measuring devices

1 connected to appropriate sensors that may determine a position of one or more packets of
2 material by sensing, for instance, a change in impedance between neighboring impedance
3 sensing electrodes within PDA 116 and by correlating that change in impedance with a
4 packet positioned adjacent the neighboring sensors according to the teachings of the
5 present disclosure.

6 Coupled to packet injection and extraction devices 120, PDA voltage drivers 126,
7 and dielectric position sensors 124 may be computer interface 128. Computer
8 interface 128 may be configured to allow host computer 130 to interact with PDA 116.
9 In one embodiment, computer interface 128 may be a digital or analog card or board that
10 may analyze impedance data to obtain a packet distribution map.

11 In the embodiment of **FIG. 10**, host computer 130 may be coupled to computer
12 interface 128 to provide for control of PDA 116. Host computer 130 may be coupled to
13 position tracking agent 132 and to low-level control agent 134. Position tracking agent
14 132 may be adapted to store, process, and track positions of packets within the fluidic
15 processor PDA 116. Low-level control agent 134 may be configured to provide
16 instructions to host computer 130 from library interface 136 and software interface 138.
17 Library interface 136 may hold various sets of subroutines for programmably
18 manipulating packets of materials on PDA 116. Software interface 138 that may allow
19 for custom programming of instructions to be executed by the fluidic processor PDA 116
20 to programmably manipulate packets. Alternatively established programs of
21 manipulation instructions for specific fluid processing tests may be read from stored data
22 and executed by the PDA fluid processor 116.

23 **FIG. 11** illustrates operation of the presently disclosed method and apparatus. In
24 **FIG. 11**, open squares represent electrodes of an integral array. However, it is
25 contemplated that the description below applies equally well to a device utilizing separate
26 impedance sensing electrodes and driving electrodes.

27 In the illustrated embodiment, a packet 21a may be introduced onto reaction
28 surface 12 adjacent the location represented by integral impedance sensor/electrode 201.

1 The packet may be compartmentalized in an immiscible partitioning medium (not
2 shown). The introduction of the packet may be accomplished using an appropriate inlet
3 port positioned adjacent to electrode 201. Alternatively, a packet may be introduced
4 adjacent electrode 201 by applying an appropriate signal to electrode 201 to generate an
5 extraction force that may extract the packet from an inlet port or from an injector directly
6 onto reaction surface 12 and adjacent to electrode 201.

7 Once positioned upon reaction surface 12, packet 21a may be made to move along
8 a predetermined path indicated by dashed line 250. A path may be specified in a number
9 of different ways. In one embodiment, a user may specifically define a path. For
10 instance, one may specify a path, through appropriate programming of a controller or
11 processing system, such as the one depicted numeral 250. Alternatively, a user may
12 specify a starting position and an ending position to define a path. For instance, a user
13 may specify that packet 21a is to be introduced adjacent electrode 201 and end at a
14 location adjacent electrode 215. Alternatively, one may specify a starting and ending
15 location with specific path information in between. For instance, a user may specify a
16 starting position, an ending position, and a wavy path in between. As can be seen from
17 FIG. 11, the path may have any arbitrary shape and it may be programmed in any number
18 of ways.

19 To move packet 21a generally along the path, electrical signals may be suitably
20 switched to integral impedance sensors/electrode pairs so that programmable
21 manipulation forces may be created that act upon packet 21a to propel it generally along
22 the specified path. As discussed earlier, the signals may be varied in numerous ways to
23 achieve the proper manipulation force. In the illustrated embodiment, applying voltage
24 signals to electrode pairs 202 and 203 may create an attractive dielectrophoretic force that
25 moves packet 21a from electrode 201 towards electrode 203 generally along path 250.
26 As packet 21a moves generally along a specified path, the integral array may measure
27 impedances to map the position of the packet upon reaction surface 12. Knowing the
28 position of a packet allows manipulation forces to be directed at appropriate positions to
29 achieve a desired microfluidic processing task or interaction. In particular, knowing a

1 position of a packet allows an appropriate signal to be switched to an appropriate
2 electrode or electrode pair to generate a manipulation force that further propels or
3 interacts the packet according to one or more instructions.

4 As packet **21a** moves from electrode **201** towards electrode **203**, the impedance
5 between electrode **202** and electrode **203** may change value, indicating that packet **21a** is
6 between, or partially between, those two electrodes. The impedance may be measured as
7 described in **FIG. 3**. A controller or processing system (not shown in **FIG. 11**) may
8 register the location of packet **21a** and may apply a signal, for instance, to electrode pairs
9 **204** and **205**, creating an attractive dielectrophoretic force which propels packet **21a**
10 towards those electrodes generally along path **250**. As the impedance between electrode
11 **204** and electrode **205** changes value, a controller or processing system may apply a
12 signal to electrodes **206** and **207** to propel packet **21a** along path **250**. As packet **21a**
13 continues along path **250**, the impedance between electrode **206** and electrode **207** may
14 change value, indicating the presence of packet **21a** adjacent that location along the array.
15 Thus, as packet **21a** moves along path **250**, a controller or processing system may
16 constantly monitor the position of the packet by measuring impedance between electrode
17 pairs and adjust electrical signals to an appropriate electrode or electrode pair (and hence,
18 adjust manipulation forces) to continue to propel the packet further along the specified
19 path.

20 Measuring an impedance between pairs of electrodes not only allows a position of
21 a packet to be determined, but it also allows for the determination of a location of an
22 obstruction or blockage upon reaction surface **12**. For example, measuring the impedance
23 between electrodes **211** and **213** may indicate the presence of obstruction **212**. By noting
24 the position of obstruction **212**, a controller or processing system may re-route one or
25 more packets around the obstruction so that no interference with microfluidic processing
26 interactions occurs. For example, if a path is specified that passes through an area
27 occupied by obstruction **212**, a controller or processing system may modify electrical
28 signals to propel a packet generally along the specified path while avoiding the
29 obstruction. For instance, a stronger or weaker signal may be sent to one or more

1 electrodes or electrode pairs near obstruction 212 to steer a packet clear of the blockage
2 while still maintaining, generally, the path that was originally specified, and more
3 particularly, the originally specified end point

4 A controller or processing system according to the presently disclosed method and
5 apparatus may be programmed to scan for several obstructions and/or packets. Such a
6 scan may build up a distribution map, showing the location(s) of various packets and/or
7 obstructions on an entire reaction surface 12 or a portion thereof. Such a distribution map
8 may be a virtual map, stored, for example, in a computer memory or display. Turning
9 again to FIG. 11, impedances of all electrode pairs adjacent to path 250 may be measured
10 to determine if an obstruction blocks that path or if a packet lies somewhere in that area.
11 If the path is determined to be clear (e.g., if all the electrode pairs show an impedance
12 value indicating a clear area), a packet may be safely propelled generally along the path
13 while avoiding any interactions with other packets and/or obstructions. However, if an
14 obstruction is discovered, several different actions may be taken. In one embodiment, the
15 user may be notified that a blockage exists along the specified path. The user may then
16 specify a different path or give another appropriate instruction. In another embodiment,
17 the controller or processing system may determine if the obstruction may be avoided
18 while still maintaining generally the same specified path. If possible, electrical signals
19 may be modified and delivered to an electrode or electrode pairs to generate appropriate
20 electrical field distributions that set up proper manipulation forces that will aid in
21 avoiding the obstruction. Because, at least in part, of this ability to constantly measure
22 positions and responses of packets during manipulation, a controller or processing system
23 may be capable of monitoring the integrity of fluidic processing, reporting and correcting
24 any errors that may occur.

25 FIG. 11 also depicts how processing may be carried out on two packets. In the
26 illustrated embodiment, a second packet 21b begins on reaction surface 12 near electrode
27 217. A second path, path 260, may be specified that ends at electrode 219. As can be
28 seen, paths 250 and 260 may cross at interaction point 240. At interaction point 240, the
29 two packets may interact in many ways as illustrated, for example, in FIG. 12. The

1 interaction may include, but is not limited to, fusing, merging, mixing, reacting, dividing,
2 splitting, or any combination thereof. For instance, the two packets may interact at
3 interaction point **240** to form one or more intermediate or final reaction products. Those
4 products may be manipulated in the same or in a similar manner as the two original
5 packets were manipulated.

6 **FIG. 11** also depicts how maintenance may be performed upon reaction
7 surface **12**. A maintenance packet **21c** adapted to perform maintenance upon reaction
8 surface **12** may be introduced onto reaction surface **12** by a maintenance port (not shown
9 in **FIG. 11**). A maintenance port may be similar to an inlet port in structure but may be
10 dedicated to the introduction of one or more maintenance packets **21c** designed
11 specifically, for instance, to clean or maintain reaction surface **12**, a surface coating, or
12 one or more electrodes or sensors. Maintenance packet **21c** may also react with an
13 obstruction in such a way as to remove that obstruction. As illustrated, maintenance
14 packet **21c** may begin near electrode **241**. It may then be propelled along path **270**,
15 providing maintenance, perhaps, to electrodes **242** and **243**. Maintenance packet **21c** may
16 be propelled back to a maintenance port, extracted from reaction surface **12**, and later
17 used again, or it may discarded at an outlet port.

18 **FIG. 12** demonstrates several different possible fluidic interactions that may be
19 carried out using the presently disclosed method and apparatus. In the illustrated
20 embodiment, packets **21** (only one is labeled for convenience) reside upon a reaction
21 surface **12** having an integral array **43** (only one electrode is labeled for convenience). In
22 the top pane of **FIG. 12**, there is shown an interaction in which a single packet is
23 manipulated on the reaction surface by moving the packet in a programmed fashion. In
24 the middle pane, two packets, starting at different locations upon the reaction surface, are
25 directed, via appropriate electrical signals, to come together at a specified location (near
26 the center of the array) to fuse together, for example, to initiate a reaction. The fused
27 packet may be manipulated just as the original packets were manipulated. For instance,
28 the fused packet may be moved to various locations or it may fuse again with another
29 packet(s). Shown in the bottom pane of **FIG. 12** is a splitting interaction. As shown, a

1 single packet is subjected to different programmable manipulation forces that cause the
2 packet to split into two distinct packets. Such an interaction may be accomplished by,
3 first, noting the position of the packet to be split, and then by applying appropriate signals
4 to electrode pairs to generate two or more opposing forces that cause the packet to split
5 apart.

6 FIG. 13 is a flowchart showing one embodiment of a method of operation. A
7 material may be introduced onto a reaction surface and compartmentalized to form one or
8 more packets in step 300. Multiple materials may be introduced at different locations
9 along reaction surface 12 to form a plurality of packets. A path may be specified as in
10 step 310. The path may be designed to accomplish any type of microfluidic processing,
11 manipulation, or interaction. Different reactions may be performed in serial or in parallel
12 according to different paths. Instructions governing such processing may be embodied in
13 the pseudo-code that may be routed through computer interface 128 of FIG. 10.
14 Illustrative code may read as follows:

15 Example: AvidinActin.PSL

```

16 Use inlet(1-3), outlet(1-2)
17 Inlet(1) is actin
18 Inlet(2) is avidin
19 Inlet(3) is enzyme
20 Outlet(1) is polymer
21 Outlet(2) is waste
22 Matrix(1,2) is accumulator
23 Clean
24 Do
25     Sactin = (Pull actin)                                // pull a new packet on the next
26     Savidin = (Pull avidin)                            // available matrix element next to
27     Senzyme = (Pull enzyme)                            // the inlets
28     Move Sactin into accumulator                      // merges components and enzyme
29     Move Savidin into accumulator                     // in a single packet
30     Move Senzyme into accumulator
31     Wait 1000ms
32     ShiftRow accumulator.row ,+1                      // drag packet left into polymer outlet
33     Move 0.5*accumulator into (2, accumulator.column)// drag half packet to row 2
34     ShiftRow 2, + 1                                    // drag packet left into waste
35 Loop Until polymer.count = 10                         // number of packet at polymer outlet = 10
36 Clean

```

1 In step **315**, computer **80** of **FIG. 6** or any other suitable device may determine
2 the next unit step along the path specified in step **315**. In other words, a path may be
3 broken down into unit steps and the next unit step or steps may be determined with
4 respect to the specified path. In step **320**, a programmable manipulation force is
5 generated on reaction surface **12** through the use of any of the mechanisms disclosed
6 herein. The programmable manipulation force may manipulate the one or more packets
7 according to instructions from a user. In step **330**, the response(s) of the one or more
8 packets may be monitored. This step may include measuring an impedance on the
9 reaction surface as discussed herein. In particular, one may determine whether the one or
10 more packets moved to where they were supposed to, or whether they interacted as
11 instructed. In step **340**, it may be determined if the packet movement was successful --
12 that is, it may be determined whether the packet ended up at a location corresponding to
13 the unit step determined in step **315**.

14 If a packet movement was successful (i.e., if the packet responded correctly to the
15 programmable manipulation force(s)), then it may be determined, by comparison with the
16 specified path, whether or not the packet destination has been reached. In other words, it
17 may be determined if the packet has moved to the end location of the specified path. If
18 the destination has not been reached, the next unit step movement may be determined at
19 step **315** and steps **320**, **330**, **340**, and **365** may be repeated. If the destination has been
20 reached, it may be determined whether another packet is to be manipulated in step **370**.
21 This step may include a user prompt. If no further packets are to be manipulated, it may
22 be determined whether fluidic processing is complete in step **380**. If yes, the process may
23 be ended at step **390**. Step **390** may include the collecting of one or more packets, further
24 analysis, throwing away of the reaction surface, or any procedure described herein. If the
25 processing is not complete, the next step of processing may be determined in step **395**.
26 The next step may entail, for example, the introduction of another packet, the
27 specification of another path, or any other step of **FIG. 13**.

28 If a packet manipulation is unsuccessful (i.e., if the applied programmable
29 manipulation force(s) did not produce a desired interaction or movement along a

1 specified path as indicated by step 340), one may locate an obstruction upon the reaction
2 surface as indicated in step 350 and as taught herein. After locating any obstructions, a
3 new, modified path may be determined or specified as indicated by step 360, leading to
4 step 310.

5 As mentioned with relation to FIG. 1, the present disclosure contemplates that
6 many different types of forces may be utilized as a manipulation force for promoting
7 fluidic interactions among packets of material on a reaction surface. Specifically, suitable
8 forces other than dielectrophoresis include electrophoretic forces, optical forces,
9 mechanical forces, or any combination thereof. Below are discussed embodiments of the
10 present disclosure dealing with electrophoretic and optical manipulation forces.

11 *Programmable Electrophoretic Array (PEA)*

12 A fluidic processing system incorporating a programmable electrophoretic array
13 may be constructed according to the present disclosure. As used herein, "programmable
14 electrophoretic array" (PEA) refers to an electrode array whose individual elements can
15 be addressed with DC, pulsed, or low frequency AC electrical signals (typically, less than
16 about 10 kHz) electrical signals. The addressing of electrode elements with electrical
17 signals initiates different field distributions and generates electrophoretic manipulation
18 forces that trap, repel, transport or perform other manipulations upon charged packets on
19 and above the electrode plane. By programmably addressing electrode elements within
20 the array with electrical signals, electric field distributions and electrophoretic
21 manipulation forces acting upon charged packets may be programmable so that packets
22 may be manipulated along arbitrarily chosen or predetermined paths. A PEA may utilize
23 electrophoretic forces in DC or low-frequency (typically, less than about 10 kHz) AC
24 electrical fields. Such electrophoretic forces may be used instead of, or in addition to,
25 another manipulation forces such as dielectrophoresis.

26 Negative or positive charges may be induced or injected into fluid packets. The
27 charged packets may be moved or manipulated by electrophoretic forces generated by an
28 electrode array fabricated on an inner surfaces of a chamber in accordance with this

1 disclosure. The electrode array, termed a programmable electrophoretic array (PEA),
2 may consist of uniformly or non-uniformly spaced electrode elements. Individual
3 electrode elements may be independently addressable with DC, pulsed, or low frequency
4 AC electrical signals (< about 10 kHz). Characteristic dimensions of individual electrode
5 elements may be of any size but, in one embodiment, may lie between 0.2 micron and 10
6 mm. Individual electrode elements may take similar or different geometrical forms such
7 as squares, circles, diamonds, or other shapes. Programmably switchable electrical
8 signals may be applied to individual electrode elements so that a programmable electrical
9 field distribution may be generated. Such a distribution may impose electrophoretic
10 forces to trap, repel, transport or manipulate charged packets in a partitioning medium.
11 Further, electrical signals may be applied to such an array so that a packet may be broken
12 down to two or more packets. The programmability of a PEA may be reflected in the fact
13 that the electric field distributions and electrophoretic forces acting on charged packets
14 may be programmable so that charged packets may be trapped or repelled or transported
15 along arbitrarily chosen paths in the partitioning medium, and that a PEA may be
16 programmed to perform different reactions in series or in parallel where different
17 manipulation protocols of packets (differing in size, number, and/or reagent type
18 concentration) may be required. As with PDA surface modification, if a dielectric layer
19 coating is applied to the surface of a PEA to modify interaction forces between packets
20 reaction surfaces, the dielectric layer may be made sufficiently thin (typically 2 nm to 1
21 micron) to allow for electric field penetration.

22 *Optical Manipulation*

23 Optical tweezers (which may consist of a focused laser beam with a light intensity
24 gradient) may be also be used for trapping and manipulating packets of material. Optical
25 manipulation requires that the refractive indices of the packets be different from that of
26 their suspending medium, for instance, a partitioning medium as described herein. As
27 light passes through one or more packets, it may induce fluctuating dipoles. Those
28 dipoles may interact with electromagnetic field gradients, resulting in optical forces
29 directed towards or away from the brighter region of the light. If their refractive indices

1 are higher than that of the partitioning medium, packets may be trapped in a bright
2 region, and when the laser light moves with respect to the partitioning medium, packets
3 may follow the light beam, allowing for optical manipulation forces. Conversely, if the
4 packets have refractive indices smaller than their partitioning medium, they will
5 experience forces directing them away from bright regions.

6 Therefore, if packets have different refractive indexes from that of the partitioning
7 medium (e.g., water packets in air or oil), optical tweezers may exert forces on them.
8 Therefore, to manipulate and interact packets, a microscope or other optical system
9 incorporating one or more laser tweezers may be used. A chamber containing a
10 partitioning medium in accordance with the present disclosure may be placed into such an
11 optical system. Following the introduction of packets of material into the chamber, laser
12 tweezers may be used to trap packets. By moving the focal point of the optical tweezers
13 with respect to the partitioning medium (e.g., moving a stage holding the thin chamber
14 containing the partitioning medium whilst fixing the position of laser tweezers and/or by
15 focusing the laser beam to different depths in the partitioning medium), packets may be
16 manipulated as described herein. Through the use of apparatus such as a computer-
17 controllable, multi-axis translation stage, the movement of the optical tweezers with
18 respect to the suspending medium may be programmed or automatically controlled. Thus
19 the optical tweezer may be moved, with respect to the medium, along any arbitrarily
20 chosen or predetermined paths. By doing so, packets under the influences of the optical
21 tweezers may be manipulated along any arbitrarily chosen or predetermined paths.

22 **Example 1**

23 Aqueous materials have been compartmentalized to form packets using
24 hydrophobic liquids as a partitioning medium. Partitioning mediums so used have
25 included decane, bromodocane, mineral oil, and 3 in 1TM oil. Packets have been formed
26 by briefly sonicating about 3 milliliters of the hydrophobic liquid to which had been
27 added 20 to 50 microliters of aqueous medium. Aqueous media tested have included

1 deionized water, tap water (electrical conductivity of about 40 mS/m) and phosphate
2 buffered saline (PBS) solution.

3 **Example 2**

4 Aqueous packets suspended in mineral oil, bromodooecane and 3 in 1TM oil have
5 been collected by dielectrophoresis by applying sinusoidal signals to gold-on-glass
6 electrode arrays having 20, 80 and 160 micron spacing, respectively. The 20-micron
7 electrode array consisted of parallel line electrodes (20 microns in width and spacing).
8 The 80 and 160 micron electrode arrays were of the interdigitated, castellated geometries.
9 Aqueous packets were collected at electrode edges or tips when AC voltage signals
10 between 100 Hz and 20 MHz were applied. Applied voltages were from 10 to 100 V
11 peak-to-peak. The formation of pearl-chains of water packets has also been observed.

12 **Example 3**

13 Aqueous packets in hydrophobic suspension have been brought together and fused
14 under the influence of dielectrophoretic forces on the same electrode arrays used in
15 Example 2.

16 **Example 4**

17 Packets have been moved from one electrode element to another under influence
18 of dielectrophoretic forces when the AC electrical field is switched on an addressable
19 array of parallel line electrodes having 20 micron width and spacing.

20 **Example 5**

21 Sensitive AC impedance monitors have been built for use with microelectrode
22 arrays. Such monitors may provide for sensitive dielectric sensing of packet positions.

23 While the present disclosure may be adaptable to various modifications and
24 alternative forms, specific embodiments have been shown by way of example and
25 described herein. However, it should be understood that the present disclosure is not

1 intended to be limited to the particular forms disclosed. Rather, it is to cover all
2 modifications, equivalents, and alternatives falling within the spirit and scope of the
3 disclosure as defined by the appended claims. Moreover, the different aspects of the
4 disclosed apparatus and methods may be utilized in various combinations and/or
5 independently. Thus the invention is not limited to only those combinations shown
6 herein, but rather may include other combinations.

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